

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Matthew Becker, et al.
Ser. No. : 10/010,389
Filing Date : November 8, 2001
For : METHODS AND SYSTEMS FOR
DETERMINING VALID MICROPROCESSOR
INSTRUCTIONS

ASSISTANT COMMISSIONER FOR PATENTS

Attention: Box Missing Parts
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

Enclosed herewith for filing in the above-identified application are copies of the following documents:

- 1) Part 2 - NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION;
- 2) Declaration and Power of Attorney (executed);
- 3) Fee Transmittal;
- 4) Copy of original Fee Transmittal;
- 5) Copy of check submitted with 11-8-01 filing;
- 6) Check in the amount of \$134.00 (surcharge + balance of additional claim fee due);
- 7) Assignment;
- 8) Recordation Form Cover Sheet; and
- 9) Check in the amount of \$40.00 (assignment recordal fee).

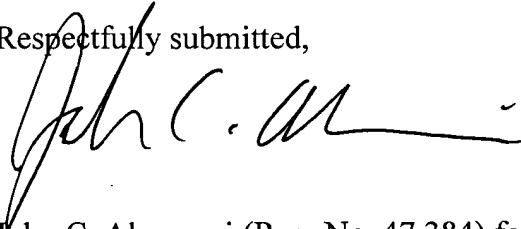
The NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION included a claim fee in the amount of eighty-four dollars (\$84) for 1 independent claim over 4. As evidenced by the enclosed copies of the original fee transmittal and check submitted with the application, applicant has paid eighty (\$80) of the eighty-four dollars (\$84). Therefore, applicant owes an additional four dollars (\$4) for excess claim fees and a total of one-hundred and thirty-four dollars (\$134), including the surcharge. A check for one-hundred and thirty-four dollars (\$134) is enclosed.

The Commissioner is hereby authorized to charge any additional fees required by this action, or credit any overpayment to Deposit Account No. 16-1435. A duplicate of this sheet is attached for that purpose.

Please address all correspondence and telephone calls in connection with this application to:

Steven Gardner, Esq.
Kilpatrick Stockton LLP
1001 West Fourth Street
Winston-Salem, NC 27101
(336) 607-7483 (Phone)
(336) 607-7500 (Facsimile)

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "John C. Alemanni", written over the closing text.

John C. Alemanni (Reg. No. 47,384) for
Steven Gardner (Reg. No. 41,772)

Date: 2/11/02
Kilpatrick Stockton LLP
1001 West Fourth Street
Winston-Salem, NC 27101
(336) 607-7300

Under the Paperwork Reduction Act of 1995, persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

FEB 11 2002

Complete if Known

TOTAL AMOUNT OF PAYMENT (\$)
174.00

Application Number	10/010,389
Filing Date	November 8, 2001
First Named Inventor	BECKER, Matthew, et al.
Examiner Name	Unassigned
Group / Art Unit	Unassigned
Attorney Docket No.	49217-262380

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:Deposit
Account
Number
16-1435Deposit
Account
Name
Kilpatrick Stockton LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$)
0

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims -20 ** =	0	X	0
Independent Claims -3 ** =	0	X	0
Multiple Dependent	X		0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)
0

**or number*previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) \$4.00 (balance of fee re: 1 independent claim over 3 (\$80 previously paid for with 11/8/01 filing))					4.00


*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

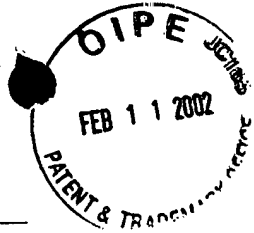
(\$)
174.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	John C. Alemanni	Registration No. Attorney/Agent)	47,384	Telephone	336.607.7311
Signature				Date	2/11/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



EXPRESS MAIL NO. EL89496275843

COPY

CCB CENTRAL CAROLINA BANK AND TRUST CO. DURHAM, NORTH CAROLINA 27702		TELLER'S CHECK VOID AFTER 90 DAYS		033578626 <small>To replace the Teller's Check for any reason may require an indemnifying Agreement or a Bond.</small>
REMITTER Scott P. Zimmerman		DATE 11/08/2001		
PAY TO THE ORDER OF United States Patent and Trademark Office***				
		CENTRAL CAROLINA BANK		
		\$ 820.00		
Issued by American Express Travel Related Services Company, Inc., New York, NY Payable Through Bank One, N.A. Columbus, OH		DRAWER: CENTRAL CAROLINA BANK AND TRUST CO.		NON NEGOTIABLE AUTHORIZED SIGNATURE
<div>IMPORTANT NOTICE TO PURCHASER: HANDLE WITH CARE! THIS CHECK IS A BANK OBLIGATION. IT MAY NOT BE POSSIBLE TO STOP PAYMENT IF LOST, STOLEN OR DESTROYED. THIS IS YOUR RECEIPT AND YOU SHOULD SAVE IT. PLEASE CONTACT THE PLACE OF PURCHASE IF YOUR CHECK IS LOST, STOLEN OR DESTROYED.</div>				



02-13-02 ^{m.m}

8 ector

EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label number : EL 894 962 758 US

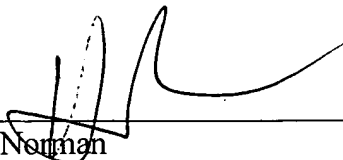
Date of Deposit : February 11, 2002

Type of Documents : Response to Notice to File Missing Parts
(in duplicate);
Part 2 – Notice to File Missing Parts of
Nonprovisional Application;
Declaration;
Fee Transmittal;
Copy of original Fee Transmittal;
Copy of check submitted with
11-8-01 filing;
Assignment;
Recordation Form Cover Sheet;
Check in the amount of \$134
(surcharge and balance of additional claim
fee due);
Check in the amount of \$40;
(assignment recordal fee); and
Return postcard

Application No. : 10/010,389

Filed : November 8, 2001

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Heather Norman (signature)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/010,389	11/08/2001	Becker	262380

CONFIRMATION NO. 4465

FORMALITIES LETTER



OC000000007278071

Steven Gardner
Kilpatrick Stockton LLP
1001 West Fourth Street
Winston Salem, NC 27101-2400

Date Mailed: 01/08/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$84.
 - \$84 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 214.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Adjustment date: 02/20/2002 BABRAHA1
12/14/2001 DIESSEH1 00000043 10010389
02 FC:105 -80.00 OP

02/20/2002 BABRAHA1 00000074 10010389

01 FC:105 130.00 OP
02 FC:102 84.00 OP